

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4

**Application Number** 

Attorney Docket No.



<b>TRANSMITTAL</b>
FORM
(to be used for all correspondence after initial filing

Total Number of Pages in This Submission (including this sheet)

Filing Date April 9, 2004

First Named Inventor Rockwood et al.

Group Art Unit 2881

Examiner Name

10/821,660

3001.BYU.NP

	ENCLOSURES (check all that apply)					
Amendment / Response  After Final Affidavits/declaration(s)  Appeal Communication: Appeal Notice Appeal Brief Reply Brief  Assignment with Cover Sheet	□ Extension of Time Request □ month □ Fee Calculation Table □ Information Disclosure Statement □ Form 1449 □ Copies of IDS References □ Issue Fee Transmittal & Advance Order	□ Maintenance Fee Transmittal    year     □ Missing Parts Response     □ Notification of Change of Attorney     Address & Docket Number     ☑ Return Postcard     □ Revocation & Power of Attorney     □ Status Inquiry     □ Other:				
□ Certified Copy of Priority     □ Document(s)     □ Check in the amount of \$     □ Credit card authorization in the amount of \$     □ Declaration & Power of Attorney     □ Drawings sheets     □ Formal □ Informal	Remarks					
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT						
Attorney for Applicant  David W. O'Bryant, Registration No. 39,793  MORRISS O'BRYANT COMPAGNI, P.C.  136 South Main Street, Suite 700  Salt Lake City, Utah 84101  (801) 478-0076 facsimile						
Signature And h	O Run I	Date 8/6/2004				
CERTIFICATE OF MAILING UNDER 37 CFR § 1.8						
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, on the date indicated below, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.						
Typed or Printed Name / David W. O'Bryant						
Signature Date 8/6/200						



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Rockwood et al.

**SERIAL NO.:** 

10/821,660

FILING DATE:

April 9, 2004

TITLE:

**CROSS-FLOW ION MOBILITY** 

**ANALYZER** 

**ART UNIT:** 

2881

**EXAMINER:** 

DOCKET NO.:

3001.BYU.NP

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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22313-1450.

David W. O'Bryant

Vale of Deposit

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.98(a)(1), enclosed is Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed, pursuant to 37 C.F.R. § 1.97 (b), within three months of the filing date of the application, within three months of the date of entry of the national stage set forth in § 1.491or before the mailing date of a first Office action on the merits. Applicant requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of the references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned

attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no reference which is more relevant to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with the waiver of 37 C.F.R. § 1.98(a)(2)(i) published in the Official Gazette on August 5, 2003 for U.S. patent applications filed after June 30, 2003, copies of each cited U.S. patent and each U.S. patent application publication are not enclosed. Applicant has submitted copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. § 1.98 (a)(2). Any listed reference that is not in the English language is accompanied by a concise explanation in English of its relevance as required under 37 C.F.R. § 1.98(a)(3)(i).

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-0881.

DATED this 6th day of August, 2004.

Respectfully submitted,

David W. O'Bryant
Attorney for Applicant

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Substitute for form PTO-1449	Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/821,660	
P ESTATEMENT BY APPLICANT	Filing Date	April 9, 2004	
	First Named Inventor	Rockwood et al.	
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<u> </u>	Examiner Name		
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			U.S. PATENT DO	CUMENTS	
Examiner Cite Initials* No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	Number-Kind Code <sup>2</sup> (if known)				
		US-2003/0136680 A1	July 24, 2003	Benner et al.	
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:	FOREIGN PATENT DOCUMENTS					
Examiner Initials"	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)				<u> </u>
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Examiner Signature Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy

of this form with next communication to applicant.

Applicant's unique citation designation number (optional).

See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04.

Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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Applicant is to place a check mark here if English language translation is attached.